

HOW BUSINESS ARCHITECTURE SUPPORTS POLICY MAKING AND COMPLIANCE

ASPECT OF POLICY MAKING AND COMPLIANCE

HOW BUSINESS ARCHITECTURE CAN HELP

KEY BUSINESS ARCHITECTURE PERSPECTIVES



IDENTIFY APPLICABLE POLICIES

Assess key business architecture perspectives to identify relevant policies and identify gaps


Capabilities, Value Streams, Information, Stakeholders, Products



DEVELOP POLICIES

Assess the comprehensive impact of a proposed or finalized policy

Capabilities and Value Streams + connections to all other business domains as well as Processes and system Applications and Software Services



TRANSLATE AND DOCUMENT POLICIES

Catalog policies in plain language at a high level in one reusable and shareable place, along with relationships to other aspects of the business (business architecture knowledgebase)

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Perform policy impact assessments and risk (e.g. of non-compliance) assessments to identify full breadth of potential impacts and risks to inform decision-making and implementation

Policies, Capabilities, Business Units, Value Streams, etc.

...

Policies, Capabilities, Business Units (which include third parties), Value Streams + connections to all other business domains as well as Processes and system Applications and Software Services



IMPLEMENT AND COMMUNICATE POLICIES

Track relationships and ensure consistency between policies (e.g. enterprise, region, business unit, etc.)

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Scope and sequence initiatives holistically to implement policies, and coordinate with existing initiatives

Policies, Capabilities, Business Units

...

Strategies / Objectives, Policies, Value Streams, Capabilities, Initiatives



FOLLOW AND MONITOR POLICIES

Audit and demonstrate compliance with policies

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Embed policy consideration and compliance related to any initiative or change, third party agreements, or M&A or partnership due diligence

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Understand the scope of applicable policies within a useful business context to enable policy ownership, awareness and training

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Calculate the cost of compliance

End-to-end traceability from Policies to Capabilities, Business Units and Value Streams, with the ability to drill down to relevant Processes and system Applications and Software Services

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Policies, Capabilities, Business Units, Value Streams, Initiatives

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Policies, Capabilities, Business Units

...

Policies, Capabilities, Business Units + connections to Processes and system Applications and Software Services